

# Auditing Culture and Business Ethics

John Chesshire

9<sup>th</sup> MAY 2019

9:00-16:00

CPE's: **7**

## Course Description

Increasingly both organisational culture and business ethics are being highlighted by regulators, independent commissions, legal bodies, media and other commentators as key reasons for management failure, poor decision making, fraud and unacceptable individual behaviour. But how can boards, leaders and audit committees be confident that their organisation is living up to its cultural values and ethical commitments? How are they managing the associated cultural and ethical risks to reduce reputational, people, legal, financial and other critical impacts?

This course will equip you with an overview of the cultural and ethical challenges facing organisations today, and give you the confidence to include these interesting, but challenging, subjects on your internal audit team's annual plan. This course will help you develop a practical framework for approaching the assurance of cultural and ethical performance and enable you to deliver insight and value in your work.

## Who should attend?

Chief audit executives, internal audit managers, senior internal auditors and internal auditors involved in planning and providing assurance on culture and ethics, or those wanting to find out more about internal audit developments in these emerging areas. It is particularly relevant for those wanting to add greater value and deliver enhanced insight through successful internal audit engagements.

## Course Programme

This course will take you through the following learning steps:

- A targeted overview of culture, including theory, models and current issues
- Why organisational culture is so topical
- Developing a practical framework for approaching an audit of culture
- Auditing culture – good practices and addressing the pitfalls
- What is business ethics? Theory, models and current issues
- Why a focus on business ethics is so topical
- Developing a practical framework for delivering assurance over ethics
- Auditing ethics – good practices and addressing the pitfalls

The course includes facilitator input, topical examples, short practical discussions and a number of task-based exercises to reinforce the methods you have learned and build upon existing knowledge.

## Four key IIA Global core competencies covered

- Critical Thinking
- Improvement and Innovation
- Communication
- Persuasion and Collaboration

## Biography

**John Chesshire** is the Chief Assurance Officer for the States of Guernsey, providing leadership to the internal audit, risk management and wider assurance communities. He has held senior executive management roles during his career and has 20 years' experience working in the internal audit, risk management, business improvement and governance fields. He lives in Oxford and is the Independent Internal Audit Committee Chair at the London Borough of Hillingdon.



John has been a Fellow of the Chartered Institute of Internal Auditors (CIIA) since 2006 and is extensively involved with the Chartered Institute's training, examination and qualifications programmes. John has been a member of the CIIA's Professional Development Committee, a member of the Examination and Assessment Committee and has worked as a volunteer for IIA Global projects, including CBOK 2015. He is also a Principal Examiner for the Institute of Risk Management and a Visiting Lecturer at Birmingham City University. John holds QIAL, CIA and CISA qualifications.

John also undertakes external quality assessments (EQAs) of internal audit functions and mentoring of internal auditors and heads of audit. He delivers a wide range of internal audit, fraud, risk management and governance training through his company, JC Audit Training Ltd, and has delivered many well-received courses for both the Chartered Institute of Internal Auditors and IIA Hellenic. John's other recent clients include FTSE listed companies, multinationals, central and local government, law enforcement, professional services firms and multinational charities.

### Cost

€ 210

€ 180 (HIIA Members)

### OAED

Seminar can be subsidized by **OAED** (LAEK Program).

### Venue

HIIA offices  
101, 3rd Septemvriou str.  
(opposite OTE mansion, Metro Station: Victoria)