

Quality Assurance and Improvement Programmes (QAIPs) and Getting the most from an external quality assessment (EQA)

John Chesshire

Course Objectives

Every internal auditor, audit manager and head of internal audit has a part to play in their team's quality assurance and improvement programme (QAIP). Attribute Standard 1300 tells us that, "The chief audit executive must develop and maintain a quality assurance and improvement programme that covers all aspects of the internal audit activity".

To ensure your internal audit function conforms to the IIA's International Standards, regular internal quality assurance activity is required, as well as an independent external quality assessment (EQA) - at least once every five years. However, many internal audit teams have not undertaken such an exercise and many team members do not fully appreciate what is needed to achieve a successful result in an EQA.

This course will help you improve your QAIP, reduce significant findings from an EQA, as well as help you turn this important process into a positive experience that can enhance the internal audit team's added value, efficiency and effectiveness.

Who should attend?

Chief audit executives, internal audit managers, senior internal auditors and internal auditors involved in quality assurance and improvement activity in their teams. It is particularly relevant for those considering how to improve their QAIP or who are preparing for an EQA.

Course programme

This course will take you through the following learning steps:

- What is quality and quality management
- The key elements of a quality assurance and improvement programme (QAIP)
- Internal quality assessments common practices and pitfalls
- What an effective improvement programme looks like good practices
- Understand how an external quality assessment (EQA) process works
- Preparing for and getting the best from an EQA
- Common lessons from EQAs
- Challenges involved in implementing an effective QAIP and how to overcome them

The course includes facilitator input, topical examples, short practical discussions and exercises to reinforce the learning and build upon your existing knowledge.

CPE competency areas covered

Professionalism (Due professional care) Leadership and Communication (Internal audit strategic planning and management) Leadership and Communication (Quality assurance and improvement programme)

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Venue virtual seminar via tele-conference

:PE's: 7

Curriculum vitae

John Chesshire CFIIA, QIAL, CIA, CISA, has over 21 years' experience working in the internal audit, risk management, business improvement and governance fields. John is a Fellow of the Chartered Institute in the UK, is an Independent Internal Audit Committee Chair and has recently been Chief Assurance Officer for the States of Guernsey. He has delivered many well-received courses to several European Institutes of Internal Auditors and his other recent clients include FTSE listed companies, multinationals, central and local government, law enforcement, international charities, professional



services companies, and international organisation such as NATO, the OECD and UN Agencies. He is a principal examiner for the Institute of Risk Management and has been a visiting lecturer in the Governance and Assurance Hub at Birmingham City University. He loves internal audit, is a great friend of IIA Greece and particularly enjoys working in new and emerging areas of assurance interest.



