

Working with other Assurance Providers, Coordination and effective Assurance Mapping

John Chesshire

Course Objectives

One of the key responsibilities of an organisation's board is to gain assurance that processes are operating within the parameters it has established to achieve the defined objectives. It is necessary to determine whether risk management processes are working effectively and whether key or business-critical risks are being managed to an acceptable level. With responsibility for assurance activities traditionally being shared among management, internal audit, risk management, and compliance, it is important that assurance activities be coordinated to ensure resources are used in the most efficient and effective way. Assurance Mapping can help.

This course will provide best practice advice and coaching on how to undertake assurance mapping in a straightforward, logical and practical way. You will learn a range of techniques that will give you the confidence and skills to help you engage with key stakeholders to develop and deliver simple assurance maps while avoiding the many pitfalls and over-complexity.

The results can also inform how internal audit could work more effectively with colleagues in the second line and with external parties who may also be commissioned to provide assurance. Coordination with and reliance upon other assurance providers are important topics and ones that we – as internal auditors – do not always fully obtain benefits from. This course will show you how we could achieve more by closer working, where it right to do so.

Who should attend?

Heads of internal audit, internal audit managers and senior internal auditors involved in working with key assurance providers and stakeholders to help the board develop assurance mapping in their organisations.

Course programme

This course will take you through a blend of theoretical, technical, discursive and practical approaches covering:

- a brief refresher covering risk management and assurance
 identifying assurance providers and sources of assurance
- identifying assurance providers and sources of assurance
 providing assurance over risk management
- developing an effective assurance map
- engaging with key stakeholders in assurance mapping
- coordination with and reliance upon other assurance providers
- common pitfalls and challenges to manage or avoid

The course includes facilitator input, topical examples, short practical discussions and exercises to reinforce the learning and build upon your existing knowledge.

CPE competency areas covered

Performance (Risk Management) Leadership (Audit plan and coordinating assurance efforts) Leadership (Internal audit strategic planning and management)

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Curriculum vitae

John Chesshire CFIIA, QIAL, CIA, CISA, has over 23 years' experience working in the internal audit, risk management, business improvement and governance fields. John is a Fellow of the Chartered Institute in the UK, is an Independent Internal Audit Committee Chair and has recently been Chief Assurance Officer for the States of Guernsey. He has delivered many well-received courses to ten Institutes of Internal Auditors across the world and his other recent clients include FTSE listed companies, multinationals, central and local government, law enforcement, international charities,



professional services companies, and international organisation such as NATO, the OECD and UN Agencies. He is a principal examiner for the Institute of Risk Management and has been a visiting lecturer in the Governance and Assurance Hub at Birmingham City University. He loves internal audit, is a great friend of IIA Greece and particularly enjoys working in new and emerging areas of assurance interest.



